

**Phipps Houses Services, Inc.**  
**902 Broadway**  
**New York, NY 10010-6033**

*Statement of Qualifications and References*

Please complete this form in its entirety and send or fax to:

Phipps Houses Services, Inc. ("Agent")  
902 Broadway  
New York, NY 10010-6033  
Fax # (212) 886-2346  
Attention: \_\_\_\_\_

1. Provide the following information:

(a) Name and Address of Company ("Contractor")

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(b) President or Chief Executive Officer. \_\_\_\_\_

(b) New York Area Contact Person and said Person's Address and Telephone Number.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(c) Other name, if any, under which Contractor has operated in the last five years.

\_\_\_\_\_

(d) State whether the Contractor's organization is a sole proprietorship, corporation, partnership, business association, limited liability company or joint venture; \_\_\_\_\_

(f) State jurisdiction under which the organization is organized and operates; \_\_\_\_\_

(g) Identify all principals, shareholders owning in excess of five percent (5%) of the stock, partners or other co-venturers of the Contractor.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. Provide Contractor's Employer Identification Number. \_\_\_\_\_

3. In the past five years, has any principal of Contractor been a principal in a business entity that has filed a petition in bankruptcy or reorganization or has had bankruptcy proceedings initiated against it?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, give the name of the entity, the date of the filing, the court, the county, the reason for filing and the disposition of the case:

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4. In the past five years has (i) Contractor, (ii) any firm which owns 5% or more of Contractor, or 5% or more of which is owned by Contractor, or (iii) any person listed in the response to question 2 (c) above, been the subject of any of the following actions:

- (a) been suspended, debarred, disqualified, had its qualification revoked or otherwise been declared ineligible to bid on any project, public or private?

Yes \_\_\_\_\_ No \_\_\_\_\_

- (b) been barred from bidding or denied a contract as a result of refusal to testify before a grand jury or administrative board or agency?

Yes \_\_\_\_\_ No \_\_\_\_\_

- (c) been given a final unsatisfactory performance determination or deemed a poor performer (by letter or formal proceedings)?

Yes \_\_\_\_\_ No \_\_\_\_\_

- (d) had liquidated damages assessed against it upon completion of a contract?

Yes \_\_\_\_\_ No \_\_\_\_\_

- (e) been adjudged guilty of any unfair labor practice?

Yes \_\_\_\_\_ No \_\_\_\_\_

If the answer to any portion of this question is yes, please provide all relevant details, including the name of a contact person at the agent or agency which took any of the above actions.

5. (a) Has Contractor or any principal of Contractor been convicted of, or pleaded guilty to, a felony or a misdemeanor within the past 10 years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, state details, including a description of the crime, State and Court of the conviction or guilty plea, date of conviction or guilty plea, disposition (plea/conviction and sentence), and the index or docket number:

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(b) Are any criminal charges presently pending against Contractor or any principal of Contractor?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, state details, including a description of the charges, State and Court where the charges are pending and the index or docket number:

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6. Has Contractor, or any principal of Contractor, or any business entity in which any principal of Contractor has been a principal, been the subject of any investigation (civil or criminal) by any governmental agency or public authority within the past ten years and to date, including any pending investigation:

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, state name of agency, date, and details and disposition of the investigation:

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7. Contractor understands and agrees that, if, based upon this Statement and any other information as to Contractor which the Agent may obtain, the Agent designates Contractor to perform the work, such designation shall not preclude the Agent from subsequently concluding, based upon additional information or further analysis of the information previously submitted, that the Contractor is not qualified to perform the work and to de-designate Contractor.

Submission of this Statement will constitute permission by the Contractor for Agent to contact any private or governmental person, entity or agency concerning the experience, financial condition and background of Contractor and its principals.

8. By submitting its proposal, Contractor and each person signing on behalf of Contractor certifies, (and in the case of a joint proposal each party thereto certifies) as to its own organization, under penalty of perjury, that to the best of its/his/her knowledge and belief:

- (a) The prices in the proposal have been arrived at independently without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.
- (b) Unless otherwise required by law, the prices, which have been quoted in the proposal, have not been knowingly disclosed by the Contractor and will not knowingly be disclosed by the Contractor prior to opening, directly or indirectly to any other bidder or to any competitor.
- (c) No attempt has been made or will be made by Contractor to induce any other person, partnership, firm or corporation to submit or not to submit a proposal for the purpose of restricting competition.
- (d) Nothing of value has been or will be given or paid to Agent or to anyone affiliated with Agent in connection with this Request for Proposals.

I, \_\_\_\_\_, being duly sworn, state that I have read and understand all the items and conditions contained in the foregoing Statement; that I supplied full and complete information and answers

to each item therein to the best of my knowledge, information and belief; and that all information supplied by me is true. I further understand that such Statement is submitted as additional inducement to the Agent to find that my organization is qualified to perform the work and that the Agent will rely on the information supplied therein and any other material submitted together therewith.

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Company

Subscribe and sworn to before me  
this      day of                      ,2024

\_\_\_\_\_  
Notary Public